



## Frédéric Rochat

Dr. iur., LL.M., Attorney at Law, Partner

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Frédéric Rochat worked several years in New York and London and has extensive experience in corporate law and contract law, mergers and acquisitions, financings of startup companies and capital markets law.

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### Practice areas

- Advises companies in sale/acquisition or restructuring processes
- Sets up company financing, including for start-up companies
- Provides practical legal advice to corporate clients in respect of contract and company law matters
- FINMA-appointed liquidator for Banque Privée Espirito Santo SA in liquidation

### Practice groups

- M&A, capital markets and corporate
- Compliance, white collar crime
- Financial services

### Education

- 2005: Admitted to the bar of New York
- 2002: Admitted to the bar of Canton of Vaud
- 2004: LL.M., Columbia University School of Law, James Kent Scholar
- 2002: Dr. iur., University of Lausanne, Edouard Fleuret Foundation award and Walthers-Hug award
- 1996: lic. iur., Universities of Lausanne and Bern, Faculty award

### Experience / Career

- since 2007: Partner at the law firm Kellerhals Carrard
- 2006-2007: Associate attorney in the mergers and acquisitions and capital markets

- departments of the law firm Simpson Thacher & Bartlett LLP in London
- 2004-2005: Associate attorney in the mergers and acquisitions and capital markets departments of the law firm Simpson Thacher & Bartlett LLP in New York
- 2000-2003: Trainee attorney, then associate attorney at a law firm specialising in business law in Lausanne
- 1997-2000: Assistant to Prof. J.-M. Rapp at the Business Law Centre (CEDIDAC) at the Law Faculty of the University of Lausanne

### Languages

- French
- German
- English

### Memberships

- Vaud Bar Association (OAV)
- Swiss Bar Federation (FSA)
- Jeune Barreau vaudois
- Swiss Arbitration Association (ASA)
- Registered in the Cantonal Attorneys' Register (Vaud)

### Further activities

- Responsible for the course "Legal Strategy" at the Executive MBA organized by the Swiss Federal Technology Institute (EPFL)
- Responsible for the Swiss Law teaching program for foreign accountants as part of

EXPERTsuisse and lecturer for the Swiss Company Law course

- Lecturer in the training program for the start-up entrepreneurs, organized by Venturelab
- Lecturer in several one- or two-day teaching programs organized by EXPERTsuisse on various topics of Swiss business law

**Board memberships**

- Carrard Consulting SA, in Lausanne, is a company providing legal and other services and advice (focusing in particular on liquidations of companies as well as advice to start-up companies). Jean-Luc Chenaux is chairman of the Board of Directors, Frédéric Rochat is vice-chairman of the Board of Directors, François Kaiser, Edgar Philippin and Daniel Staehelin are members of the Board of Directors and Alexandre Gachet is general manager.
- Ecole de Jazz et Musique Actuelle de Lausanne (EJMA), in Lausanne, is a Swiss public interest foundation dedicated to education in jazz and modern music, as well as training of amateur and future professional musicians. Frédéric Rochat is Chairman of the Foundation Board.
- Gene Predictis SA is a company active in the field of predictive medicine in genetics. Frédéric Rochat is a member of the Board of Directors.
- Prsminvest SA, in Morges, is a Swiss

corporation active in asset management.

Frédéric Rochat is a member of the Board of Directors.

- Fondation Health Sciences e-Training, in Lausanne, is a Swiss foundation active in the setting up and provision of on-line teaching materials in the medical field. Frédéric Rochat is a member of the Foundation Board.
- EuroVacc Foundation, in Lausanne, is a Swiss foundation active in research for vaccines against HIV and other infectious diseases. Frédéric Rochat is Chairman of the Foundation Board.

**Publications and presentations**

- "Le représentant de l'Etat au conseil d'administration", in: Aktuelle Herausforderungen des Gesellschafts- und Finanzmarktrechts, Festschrift für Hans Caspar von der Crone zum 60. Geburtstag, S. 39ff, 2017 (together with Prof. Dr. Jean-Luc Chenaux)
- Inefficacité du titre d'aliénation et renaissance de l'action réelle mobilière (Frédéric Rochat), thèse Lausanne 2000, Zurich 2002 (prix Edouard Fleuret 2003; prix Walther Hug 2004)
- Les projets de Sàrl révisée et de SA privée (Frédéric Rochat et Phidias Ferrari Ed.), CEDIDAC no. 37, Lausanne, 1998